



#4

Docket No.: 102863-2
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Ronald J. Kolata, et al.

Application No.: 09/845,898

Group Art Unit: 3739

Confirmation No.: 4070

Examiner: Not Yet Assigned

Filed: April 30, 2001

For: HEART PRESENTATION DEVICE
AND METHOD

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: **BOX MISSING PARTS**, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: Aug. 10, 2001

Signature: 

(Lisa J. Michaud)

BOX MISSING PARTS

Commissioner for Patents
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NON-PROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application—Filing Date Granted mailed June 25, 2001; Applicant respectfully submits the following documents:

1. Fee Transmittal Form;
2. Part 2 of PTO Form of Notice to File Missing Parts of Non-Provisional Application;
3. Executed Declaration and Power of Attorney Form;
4. Request for Correction of Filing Receipt together with a copy of the filing receipt with changes marked in red ink; and
5. Return postcard.

Application No. 09/845,898
Confirmation No. 4070

2

Docket No. 102863-2

Our check in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 141449, under Order No. 102863-2.

Dated: Aug. 10, 2001

Respectfully submitted,

By 

Lisa J. Michaud

Registration No.: 44,238

NUTTER, MCCLENNEN & FISH, LLP

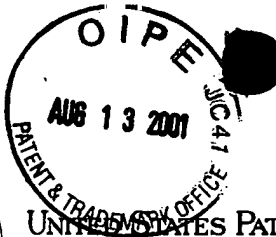
One International Place

Boston, Massachusetts 02110-2699

(617) 439-2550 (Telephone)

(617) 310-9550 (Facsimile)

Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,898	04/30/2001	Kolata J. Ronald	102863-2

CONFIRMATION NO. 4070

021125
NUTTER MCCLENNEN & FISH LLP
ONE INTERNATIONAL PLACE
BOSTON, MA 02110

FORMALITIES LETTER



OC000000006219299

Date Mailed: 06/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/15/2001 SMINASS1 00000077 09845898

01 FC:105

130.00 0P



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known		
		Application Number	09/845,898 (Conf. No. 4070)	
		Filing Date	April 30, 2001	
		First Named Inventor	Ronald J. Kolata	
		Examiner Name	Not Yet Assigned	
TOTAL AMOUNT OF PAYMENT (\$)		130.00	Attorney Docket No.	102863-2

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 141449 Deposit Account Name: <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																																																																																																																																																																																	
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SUBMITTED BY		Complete (if applicable)	
Name (print/type)	Lisa J. Michaud	Registration No. (Attorney/Agent)	44,238
Signature		Telephone	(617) 439-2550
		Date	8/10/01

Fee Transmittal	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on the date shown below.	
Dated: 8/10/01	Signature: (Lisa J. Michaud)



Receipt
#5

Docket No.: 102863-2
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Ronald J. Kolata, et al.

Application No.: 09/845,898

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Dated: Aug. 10, 2001

Signature:

(Lisa J. Michaud)

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

Applicant respectfully requests a corrected filing receipt for the above-referenced patent application. The following changes are requested:

1. The correct full name of the first inventor is: **Ronald J. Kolata**;
2. The correct spelling of the residence for the second inventor is: **Maineville, OH**;
3. The correct full name of the third inventor is: **Christopher J. Hess**;
4. The correct full name of the fourth inventor is: **Gary W. Knight**; and
5. The correct full name of the fifth inventor is: **Kristin L. Jambor**.

Application No. 09/845,898
Confirmation No. 4070

2

Docket No. 102863-2

Enclosed is a copy of the filing receipt with the desired changes marked in red ink. Once the changes have been made, please forward the corrected filing receipt at your earliest convenience. Should any questions arise concerning the foregoing, please contact the undersigned at the telephone number listed below.

Dated: Aug. 10, 2001

Respectfully submitted,

By 
Lisa J. Michaud

Registration No.: 44,238

NUTTER, MCCLENNEN & FISH, LLP
One International Place
Boston, Massachusetts 02110-2699
(617) 439-2550 (Telephone)
(617) 310-9550 (Facsimile)
Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

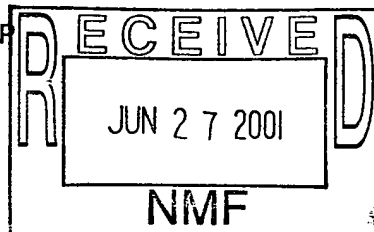
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/845,898	04/30/2001	3739	1016	102863-2 ✓	8	37	3

CONFIRMATION NO. 4070

021125

NUTTER MCCLENNEN & FISH LLP
ONE INTERNATIONAL PLACE
BOSTON, MA 02110



FILING RECEIPT



OC00000006219298

Date Mailed: 06/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kolata J. Ronald, Cincinnati, OH;
Michael F. Clem, Maineville, OH;
Hess J. Christopher, Lebanon, OH;
Knight W. Gary, Westchester, OH;
Kristin J. Jambor, Cincinnati, OH;
David L. Hamann, Cincinnati, OH;

RONALD J. KOLATA
MAINEVILLE OH
CHRISTOPHER J. HESS
GARY W. KNIGHT
KRISTEN L. JAMBOR

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Heart positioning device and method

Preliminary Class

606

Data entry by : CETIN, CHIN

Team : OIPE

Date: 06/25/2001

[REDACTED]